

PATENT Docket No. 472712000100

DECLARATION FOR UTILITY PATENT APPLICATION

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR ONLINE VALUATION AND ANALYSIS, the specification of which is attached hereto unless the following box is checked:

was filed on August 31, 2001 as United States Application Serial No. 09/942,954.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Date of Filing (day/month/year)	Priority	Claimed?
		□Yes	□No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional RECEIVED application(s) listed below:

Application Serial No. | Filing Date | 60/229,292 | September 1, 2000

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OFFICE OF PETITIONS

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Senal, No.	Filing Date	Status		
	·	□Patented	□Pending	□Abandoned

I hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Lisa A. Amii (Reg No. 48,199) Mehran Arjomand (Reg No. 48,231) Erwin J. Basinski (Reg No. 34,773) Richard R. Batt (Reg No. 43,485) Jonathan Bockman (Reg No. 45,640) Barry E. Bretschneider (Reg No. 28,055) Tyler S. Brown (Reg No. 36,465) Mark R. Carter (Reg No. 39,131) Peng Chen (Reg No. 43,543) Thomas Chuang (Reg No. 44,616) Cara M. Coburn (Reg No. 46,631) Raj S. Davé (Reg No. 42,465) David Devernoe (Reg No. 50,128) Stephen C. Durant (Reg No. 31,506) Christopher B. Eide (Reg No. 48,375) Carolyn A. Favorito (Reg No. 39,183) Hector Gallegos (Reg No. 40,614) Debra J. Glaister (Reg No. 33,888) Bruce D. Grant (Reg No. 47,608) Douglas G. Hodder (Reg No. 41,840) Charles D. Holland (Reg No. 35,196) Jill A. Jacobson (Reg No. 40,030) Madeline I. Johnston (Reg No. 36,174) Ararat Kapouytian (Reg No. 40,044) Cameron A. King (Reg No. 41,897) Kawai Lau (Reg No. 44,461) Rimas T. Lukas (Reg No. 46,451) Michael J. Mauriel (Reg No. 44,226) Gladys H. Monroy (Reg No. 32,430) Kate H. Murashige (Reg No. 29,959) Catherine M. Polizzi (Reg No. 40,130) Robert Saltzberg (Reg No. 36,910) Debra A. Shetka David Smith (Reg No. 39,839) Stanley H. Thompson (Reg No. 45,160) Brenda J. Wallach (Reg No. 45,193) E. Thomas Wheelock (Reg No. 28,825)

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Please direct all communications to:

Kevin R. Spivak
Morrison & Foerster LLP
1650 Tysons Boulevard
Suite 300
McLean, Virginia 22102

Citizenship:

Please direct all telephone calls to Kevin R. Spivak at (703) 760-7762.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Chris QUACKENBUSH (DECEASED) by Name: G. William Haas (Legal Representative) - Grown 5 The First Morrison Cohen Singer & Weinstein, LLP Address: 750 Lexington Avenue, New York, N.Y., 10022, USA Citizenship Name: 446 East 88th Street, Apt. 2B, New York, N.Y., 10128 Residence: U.S. Citizenship: Brian PIETREWICZ 414 Codding Road, Westfield, N.J., 07090 Residence: Citizenship: William PAPPAS Name: 210 East 73rd Street, Apt. 7A, New York, N.Y., 10021 Residence:



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Paper No. 8

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AUG 1 6 2002

OFFICE OF PETITIONS

In re Application of Quackenbush, et al. Application No. 09/942,954 Filed: August 31, 2001 Atty. Dkt. No. 424712000100 Title: SYSTEM AND METHOD FOR ONLINE VALUATION AND ANALYSIS

MORRISON & FOERSTER

SUITE 5500

2000 PENNSYLVANIA AVE, NW

WASHINGTON, DC 20006-1888

47271-20001.00
DECISION REFUSING
STATUS UNDER 37 CFR
1.47(a)

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This is in response to the petition under 37 CFR 1.47(a), filed May 2, 2002.

OFFICE OF PETITIONS

The petition is **DISMISSED**.

Rule 47 applicant is given TWO MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. Failure to respond will result in abandonment of the application. Any extensions of time will be governed by 37 CFR 1.136(a).

The above-identified application was filed August 31, 2001 without an executed oath or declaration and naming Chris Quackenbush, Derek Szot, Brian Pietrwicz, and William Pappas as joint inventors. Accordingly, on October 2, 2001, a Notice to File Missing Parts of Nonprovisional Application was mailed, requiring an executed oath or declaration and surcharge.

A grantable petition under 37 CFR 1.47(a) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor.

Petitioner lacks items (1), (2), and (4) set forth above.

As to item (1), the petition lacks sufficient evidence that the legal representative of the deceased inventor cannot be reached, was ever presented with a copy of the application papers (specification, claims and drawings), or, having been presented with the application papers, refused to sign the oath or declaration.

The declaration of Kevin R. Spivak indicates that inventor Chris Quackenbush is deceased. Declarant further states that the United States Trust Company of New York and James J.

COPY

Reg. for Reconsideration

Due 10/16/02

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Dunne, III are the executors of the estate of the deceased inventor. Declarant further states G. William Haas is the legal representative of the executors of the estate of the deceased inventor.

While petitioner indicates an assignment and declaration were sent to legal representative G. William Haas, there is no indication that the legal representative received a complete copy of the application papers (specification, claims, drawings, and oath or declaration).

In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent. See, 37 CFR 1.42. However, petitioner is advised that before a refusal to sign an oath or declaration can be alleged, it must be demonstrated that bona fide effort has been made to present a copy of the application papers (specification, claims, drawings, and oath or declaration) to the legal representative of the deceased inventor. A copy of the application papers should be sent to the last known address of the legal representative of the deceased inventor, or, if the legal representative is represented by counsel, to the address of the legal representative's attorney. See, MPEP 409.03(d).

Any renewed petition must be supported by evidence that the legal representative of the deceased inventor inventor was presented with a copy of the application papers. In particular, the renewed petition should set forth the manner in which the application papers were presented to the legal representative of the deceased inventor. Petitioner may wish to provide the Office with copies of dated cover letters and, if available, copies of mailing receipts as evidence that the legal representative of the deceased inventor was presented with a copy of the application papers. If, after having been presented with the application papers, an oral refusal is made by the legal representative of the deceased inventor, this fact along with the time and place of the refusal must be stated in an affidavit or declaration signed by the person to whom the refusal was made. Any written refusal to execute the oath or declaration by the legal representative of the deceased inventor should likewise be submitted. Petitioner's attention is directed to MPEP 409.03(d) for further information on the presumptive evidence required for accordance of status under 37 CFR 1.47.

As to item (2), the declaration submitted herewith is unacceptable as it fails to comply with 37 CFR 1.63 and 1.64 has been presented. Specifically, the declaration fails to set forth the name, citizenship, address, and residence of the legal representative of the deceased inventor. While the declaration of Kevin R. Spivak indicates G. William Haas is the legal representative of the executors of the estate of the deceased inventor, G. William Haas is not so identified on the declaration submitted with the instant petition. Any renewed petition must be accompanied by a declaration executed by each available inventor and properly identifying the legal representative of the deceased inventor in

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accordance with 37 CFR 1.63 and 1.64.

As to item (4), the petition fails to set forth the last known address of the legal representative of the deceased inventor. Any renewed petition must set forth the name and last known mailing address of the legal representative of the deceased inventor.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Commissioner for Patents

Box DAC

Washington, D.C. 20231

By FAX:

(703) 308-6916

Attn.: Office of Petitions

By hand:

Crystal Plaza Four, Suite 3C23 2201 S. Clark Place

Arlington, VA

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-0310.

Alesia M. Brown

Petitions Attorney Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy